



9110-04-P

## **DEPARTMENT OF HOMELAND SECURITY**

### **Coast Guard**

**[Docket No. USCG-2017-1032]**

### **Cooperative Research and Development Agreement: Cellular Phone Geolocation**

#### **Development**

AGENCY: Coast Guard, DHS.

ACTION: Notice of intent; request for comments.

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SUMMARY: The Coast Guard announces its intent to enter into a Cooperative Research and Development Agreement (CRADA) with TriaSys Technologies Corp, to investigate the potential operational use of cellular phone direction finding technology. The intent to enter in a potential CRADA with TriaSys Corp is based on market research and visits to vendors with advertised expertise in this unique application of technology in the maritime environment for Search and Rescue. While the Coast Guard is currently considering partnering with TriaSys Technologies Corp, the agency is soliciting public comment on the possible nature of and participation of other parties in the proposed CRADA. In addition, the Coast Guard also invites other potential non-Federal participants to propose similar CRADAs.

DATES: Comments must be submitted to the online docket via <http://www.regulations.gov> on or before [INSERT DATE 30 DAYS FROM DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Synopses of proposals regarding future CRADAs must reach the Coast Guard (see FOR FURTHER INFORMATION CONTACT) on or before [INSERT DATE 30 DAYS FROM DATE OF PUBLICATION IN THE FEDERAL REGISTER].

ADDRESSES: Submit comments online at <http://www.regulations.gov> in accordance with website instructions.

FOR FURTHER INFORMATION CONTACT: If you have questions on this notice or wish to submit proposals for future CRADAs, contact Donald Decker, Project Official, C4ISR Branch, U.S. Coast Guard Research and Development Center, 1 Chelsea Street, New London, CT 06320, telephone 860-271-2701, e-mail [Donald.d.decker@uscg.mil](mailto:Donald.d.decker@uscg.mil).

SUPPLEMENTARY INFORMATION:

#### **Public Participation and Request for Comments**

We request public comments on this notice. Although we do not plan to respond to comments in the *Federal Register*, we will respond directly to commenters and may modify our proposal in light of comments.

Comments should be marked with docket number USCG-2017-1032 and should provide a reason for each suggestion or recommendation. You should provide personal contact information so that we can contact you if we have questions regarding your comments; but please note that all comments will be posted to the online docket without change and that any personal information you include can be searchable online (see the Federal Register Privacy Act notice regarding our public dockets, 73 FR 3316, Jan. 17, 2008). We also accept anonymous comments.

We encourage you to submit comments through the Federal eRulemaking Portal at <http://www.regulations.gov>. If your material cannot be submitted using <http://www.regulations.gov>, contact the Coast Guard (see FOR FURTHER INFORMATION

CONTACT). Documents mentioned in this notice and all public comments, are in our online docket at <http://www.regulations.gov> and can be viewed by following that website's instructions. Additionally, if you go to the online docket and sign up for email alerts, you will be notified when comments are posted or a final rule is published.

Do not submit detailed proposals for future CRADAs to the Docket Management Facility. Instead, submit them directly to the Coast Guard (see FOR FURTHER INFORMATION CONTACT).

### Discussion

CRADAs are authorized under 15 U.S.C. 3710(a).<sup>1</sup> A CRADA promotes the transfer of technology to the private sector for commercial use, as well as specified research or development efforts that are consistent with the mission of the Federal parties to the CRADA. The Federal party or parties agree with one or more non-Federal parties to share research resources, but the Federal party does not contribute funding.

CRADAs are not procurement contracts. Care is taken to ensure that CRADAs are not used to circumvent the contracting process. CRADAs have a specific purpose and should not be confused with procurement contracts, grants, and other type of agreements.

Under the proposed CRADA, the R&D Center will collaborate with one non-Federal participant. Together, the R&D Center and the non-Federal participant will collect information/data for performance, reliability, maintenance requirements, human systems integration and other data on cellular direction finding technologies. After an initial installation and familiarization period, the Coast Guard plans to evaluate a designated platform outfitted with the communications technology for a period of one week.

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<sup>1</sup> The statute confers this authority on the head of each Federal agency. The Secretary of DHS's authority is delegated to the Coast Guard and other DHS organizational elements by DHS Delegation No. 0160.1, para. II.B.34.

We anticipate the Coast Guard's contributions under the proposed CRADA will include the following:

- (1) Develop the Demonstration Pilot Assessment Plan to meet the objectives of the CRADA with a diverse set of real-life mission scenarios.
- (2) Provide the pilot demonstration support in and around Charleston, SC.
- (3) Coordinate Pilot demonstration from onboard a USCG cutter.
- (4) Collaborate with non-Federal partners to prepare demonstration documentation including equipment assessments, final report(s), and briefings.

We anticipate that the non-Federal participant's contributions under the proposed CRADA will include the following:

- (1) Assist the R&D Center in the development and drafting of all CRADA documents, including the pilot demonstration assessment plan, equipment assessments, final report(s), and briefings.
- (2) Provide and maintain the direction finding equipment to ensure the system is usable.
- (3) Secure, with R&D Center assistance, Special Temporary Authority (STA) to employ the equipment within the desired frequency bands.
- (4) Provide technical support, training and maintenance throughout the period of performance to ensure maximum availability and utility of the networks.

The Coast Guard reserves the right to select for CRADA participants all, some, or no proposals submitted for this CRADA. The Coast Guard will provide no funding for reimbursement of proposal development costs. Proposals and any other material submitted in response to this notice will not be returned. Proposals submitted are expected to be unclassified and have no more than five single-sided pages (excluding cover page, DD 1494, JF-12, etc.).

The Coast Guard will select proposals at its sole discretion on the basis of:

(1) How well they communicate an understanding of, and ability to meet, the proposed CRADA's goal; and

(2) How well they address the following criteria:

(a) Technical capability to support the non-Federal party contributions described; and

(b) Resources available for supporting the non-Federal party contributions described.

Currently, the Coast Guard is considering TriaSys Technologies Corp for participation in this CRADA. This consideration is based on the fact that TriaSys Systems has demonstrated its technical ability as the developer, manufacturer, and integrator of cellular direction finding equipment. However, we do not wish to exclude other viable participants from this or future similar CRADAs.

The USCG's intent to enter in a potential CRADA with TriaSys Corp is based on market research and visits to vendors with advertised expertise in this unique application of technology in the maritime environment for Search and Rescue. The research includes employment of their antennas, equipment and graphical user interface (GUI) to establish direction and geo-location of cellular phones in an open-ocean environment. Specifically, the equipment will provide both a Line of Bearing (LOB) and a Global Positioning System (GPS) location to a cellular phone in a search and rescue scenario. The equipment will be setup in locations with use in the open ocean environment. A Pilot Demonstration schedule has been proposed in which TriaSys Systems will provide their equipment. The Coast Guard Research and Development Center (R&D Center) will prepare a Pilot Demonstration Assessment Plan and TriaSys Systems will operate the equipment for exploratory development over a one week period to collect information on suitability, reliability, maintenance requirements, and ease of use.

This is a technology assessment effort. The goal for the Coast Guard of this CRADA is to better understand the advantages, disadvantages, required technology enhancements, performance, costs, and other issues associated with cellular direction finding technologies. Special consideration will be given to small business firms/consortia, and preference will be given to business units located in the U.S. This document is issued under the authority of 5 U.S.C. 552(a).

Dated: November 14, 2017

Bert N. Macesker, Executive Director  
Acting Commanding Officer  
U.S. Coast Guard Research and Development Center  
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